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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	0003/00537
First Inventor	Fred J. STEVENS
Title	FIBRIL BLOCKING PEPTIDE...
Express Mail Label No.	EL 031465106 US

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09/712819

11/13/00

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 19]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. Oath or Declaration [Total Pages ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information:

Examiner: \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

or

☐ Correspondence address below

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Name (Print/Type)	Michael J. Cherskov	Registration No. (Attorney/Agent)	33,664
Signature		Date	Nov. 13, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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**CERTIFICATE OF MAILING BY EXPRESS MAIL**

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for Patents, Washington, D.C. 20231

MICHAEL J. CHERSKOV

(Typed or printed name of person mailing paper or fee)

Signature

November 13, 2000

Date of Deposit

November 13, 2000

Assistant Commissioner of  
Patents  
Box Patent Application  
Washington, D.C. 20231

Sir:

We enclose herewith the patent application of Fred J. STEVENS, et al entitled "A FIBRIL-BLOCKING PEPTIDE, A METHOD FOR PREVENTING FIBRIL FORMATION" (Atty Docket Number 0003/00537), including the specification, a set of 20 claims, and 4 sheets of formal drawing. Also enclosed is an Information Disclosure Statement and a Self-Addressed Postcard. This Application is being filed at this time without a Declaration with Power of Attorney, pursuant to 37 C.F.R. §1.53(b). The undersigned asserts that the Applicants are small entities.

There are four inventors in this matter.

- 1.) Fred J. STEVENS, a U.S. Citizen residing at  
548 Beaconsfield Avenue  
Naperville, IL 60565

In Re: STEVENS (Attny Dkt # 0003/00537)  
Patent Application Transmittal Letter  
Page -2-

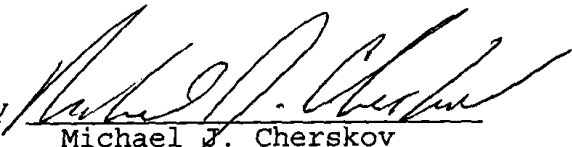
and

- |     |   |                            |
|-----|---|----------------------------|
| 2.) | Yair ARGON,<br>2404 Braeburn Avenue<br>Flossmoor, IL 60422    | a U.S. Citizen residing at |
| 3.) | David P. DAVIS<br>5457 S. Cornell Avenue<br>Chicago, IL 60615 | a U.S. Citizen residing at |
| 4.) | Rosemarie RAFFEN<br>796 Spring<br>Elmhurst, IL 60126          | a U.S. Citizen residing at |

Please direct all correspondence to the undersigned at the address indicated in the letterhead above.

Respectfully,

CHERSKOV & FLAYNIK

By   
Michael J. Cherskov  
(Reg. No. 33,664)

encl.: Patent Application;  
Utility Patent Coversheet  
IDS + One Article  
Postcard